Legal and Governance



# EXECUTIVE MEMBER FOR FINANCE AND GOVERNANCE

Date: Thursday 20th April, 2023 Time: 2.30 pm Venue: Spencer Room

# AGENDA

1. Housing Support Fund

3 - 20

Charlotte Benjamin Director of Legal and Governance Services

Town Hall Middlesbrough Wednesday 19 April 2023

**MEMBERSHIP** 

Councillor S Walker

# Assistance in accessing information

Should you have any queries on accessing the Agenda and associated information please contact Susie Blood, 01642 729645, susie\_blood@middlesbrough.gov.uk

# MIDDLESBROUGH COUNCIL



Report of:	Director of Finance		
Submitted to:	Executive Member for Finance and Governance		
Date:         20 April 2023			
Title:         Household Support Fund 2023/24			
Report for:	Decision		
Status:	Public		
Strategic priority:	Vulnerability		
<u></u>	-		

Key decision:	Yes
Why:	Decision(s) will incure expenditure or savings above £150,000 and have a significant impact in two or more wards

Urgent:	Yes
Why:	The plan is subject to Executive and S151 Officer approval and must be confirmed to the DWP by 17 May 2023.

#### **Executive summary**

This report outlines the basis on which the Council should adopt a new scheme for the Household Support Fund. Funding of £3.3 million has been provided by Central Government, the funds are to be distributed to Residents of the town between April 23 and March 24.

The report recommends that the Executive Member for Finance and Governance approves the adoption of a scheme based on the Central Government guidance dated 27 February 2023 for the year commencing 01 April 2023.

There is no financial impact to the Council. As individual portfolio holder for Finance and Governance, the Executive Member is responsible as per the constitution scheme of delegation for approval of such policies, **plans and strategies** which are not part of the financial and policy framework.

Without this decision, Middlesbrough residents cannot benefit from the funds provided by Central Government which are intended to support those most in need and to help with the global inflationary challenges and the significant rising cost of living. The alternative would leave the Council open to criticism both by central government and residents, given the express expectation that Councils would use the funding provided.

# Purpose

 The purpose of the report is provide an overview of the scheme as outlined in the delivery plan at Appendix A which requires Executive member approval and Section 151 Officer approval for the financial year April 23 to March 24, based on Central Government guidance dated 27 February 2023. The delivery plan must be communicated to the DWP by 17 May 2023.

### Background and relevant information

- 2. On 17 November 2022 in the Autumn Statement the Chancellor announced, as part of a number of measures to provide help with global inflationary challenges and the significantly rising cost of living, that the Household Support Fund (HSF) would be extended to April 2023 to March 2024.
- 3. Individual local authorities are expected to adopt a local scheme and determine how the funding provided is to be distributed. The amount provided to Middlesbrough is £3,307,230.00.
- 4. Guidance provided states that Local Authorities must make sure that the mandatory element of application-based support delivered through the scheme is clearly advertised to residents and is available throughout the majority of the fund period and the scheme must be published on the Council's website.
- 5. The value of individual awards is to be determined by Local Authorities in accordance with the parameters set out in the guidance.
- 6. A number of the suggested initiatives are based on previous household support schemes that have worked successfully and which have been endorsed by groups such as financial inclusive groups and other partnering organisations and will follow a similar criteria.

### What decision(s) are being recommended?

- **7.** That the Executive Member for Finance and Governance approves the delivery plan required by the Department for Work and Pensions and is as detailed below and subject to Central Government Guidance.
- 8. Schemes will be implemented generally in accordance with the qualifying criteria applied in the most recent household support scheme. Where the criteria do not exist, or minor adjustments are required to ensure efficient operation then decision making is delegated to the Head of Resident and Business Support.

### Rationale for the recommended decision(s)

- 9. The Council is required to adopt a scheme to allow Middlesbrough residents to benefit from the funding provided to minimise the consequences of the Cost-of-Living Crisis.
- 10. The attached delivery plan outlines how the funds will be distributed. The scheme is designed to support households with children, pensioners, disabled and other

households who may be experiencing financial difficulties brought about by the cost-ofliving increase.

11. Subject to approval awards will be made on the follow basis – see below. Should any funds remain these will be allocated to council tax accounts based on a qualifying criteria which will be determined subject to remaining funds.

Children	Pensioners	Disabled	Other
Households eligible for Free School Meals. £100 payable over 3 payments. (£900k)	In receipt of CTR or Pension Credit Guarantee Application and Automated payment methods. £75.00 single £100.00 for a couple (£295k)	In receipt of higher rate of DLA or enhanced PIP with CTR or HB Automated process. £50.00 per household. £225k)	Not in receipt of benefits. Telephone Application. Amount to be determined. (£250k)
Application based claim for those in receipt of CTR/UC/HB £100 payable over 3 payments. (£200k)			Community Support (All households composites). Amount to be determined. (£200k)
New Mothers Voucher of £50.00 (£100k)			Homeless Support Amount to be determined (£20k)
Holiday Activities and Food programme. (£59.4k)			Cost of Living Support Initiatives Amount to be determined. (£90k)
Telephone based application for non CTR residents (£250k)			3 <sup>rd</sup> Party Support Differing amount based on provider. (£210k)
Section 17 Additional Support (£100k)			Advice & Support To be determined. (£40k)
			Housing Benefit/Housing Support To be determined. (£55k)

Anticipated spend circa £3m. Although it should be noted that the above table excludes any administration costs.

12. The scheme has been designed to provide support throughout the year. Payments will be tapered over the course of the year to reduce household dependencies as Central Government have not suggested that support will continue beyond March 2024.

- 13. The plan will be subject to review periodically to allow alterations to be made should the scheme requirements need to change to keep pace with the cost-of-living crisis.
- 14. Suitable controls will be put in place to minimise the risk of fraud such as bank account checks. Local Authorities have access to a range of data sources and checks will be carried out against this data to verify identity of recipients. Where fraud is identified appropriate actions will be taken to address this via the council's fraud solution.

#### Other potential decision(s) and why these have not been recommended

15. Should the Council choose not to implement Central Government intentions, this would leave the Council open to criticism both by Central Government and the residents of the town, given the express expectation that Councils would use the funding available to support the residents of the town in meeting the cost-of-living increase.

### Impact(s) of the recommended decision(s)

**16.** If agreed, the scheme will be implemented with support being provided to some of the town's most vulnerable residents, with an allocation of £3.3m being allocated over the financial year April 23 to March 24.

#### Legal

17. Central Government guidance provides Local Authorities with the option to set its own scheme through funding provided and whilst there are no legal requirements to implement a scheme, should the Council decline, this would subject the Local Authority to criticism by both Central Government and residents of the town.

### Strategic priorities and risks

18. The proposed scheme will contribute as follows to the Council's strategic priorities:

People	Place	Business
Support will be provided to thousands of residents across the town.	The funding will support the Local Economy.	The funding is intended to enhance local spending.

19. The scheme will avoid the small risk of harm to the Council's reputation from failing to support residents who are suffering financial hardship

### Human Rights, Equality and Data Protection

20. The proposed scheme has been designed in response to Central Government guidance, and there will be no negative impacts as a result of the decision.

### Financial

**21.**The decision has no cost to the Council as the scheme is fully funded by Central Government.

### Actions to be taken to implement the recommended decision(s)

Action	Responsible Officer	Deadline
Implementation of the plan, promoted through various channels.	Janette Savage	Immediately following approval.
Periodic Review of the Scheme	Janette Savage	Every 3 months.

# Appendices

22. Delivery Plan (Appendix A) and Impact Assessment

# **Background papers**

Body	Report title	Date
Department for Work and Pensions	Guidance - 1 April 2023 to 31 March 2024: Household Support Fund guidance for county councils and unitary authorities in England	2023

# Contact: Janette Savage - Head of Resident & Business Support.

Email: Janette\_savage@middlesbrough.gov.uk

#### Guidance for completing the Delivery Plan for Household Support Fund 4

Before completing this template, please refer to the 'Delivery Plan reporting requirements' section of the detailed guidance document.

Please ensure you complete the following tabs:

- 1 Governance
- 2 Anticipated Spend
- 3 Anticipated Volumes
- 4 Anticipated No of Households
- 5 Planned Activities

The delivery plan should cover the anticipated value of grants for vulnerable households.

#### You need to return the delivery plan by 17 May 2023.

When submitting your delivery plan to DWP; please attach and name the excel spreadsheet as follows -

Filename: HSF4DP\_RRR\_MMYY (where RRR is your LA code and date of return is in MMYY format) for example Brighton & Hove Unitary Authority's May 2023 return would be labelled HSF4DP\_007\_0523 xlsx.

Send the completed delivery plan, including the name of your LA in the subject line to the DWP to:

#### lawelfare.pdt@dwp.gov.uk

Your delivery plan must include your Cabinet Member's name and email address. The aim of this process is to provide assurance the delivery plan is accurate. We also require you to copy the email of your Cabinet Member into the email sent to DWP when you return the delivery plan.

Reasonable administration costs are funded as part of the grant.

#### Traffic Light Guidance System

The Traffic Light Guidance System is used throughout the delivery plan to help inform the user and the Cabinet Member of any outstanding required inputs.

The green circle with a white tick indicates that the adjacent table is compliant: The red circle with a white cross indicates that the adjacent table is non-compliant:

	HSF4 Delivery plan					Department for Work & Pensions
Ī	1) LA details			Notes		
	Local authority	LA code	Has the return been completed in full?	To complete the Governance tab, pleas a) choose your Local Authority name in b) enter the return date in Table 2 (dd/r c) complete all cells in Table 3	n Table 1	
0	Middlesbrough UA	LA041	Ø	guidance tab. It details how the system When a green circle with a white tick a	ic light system is included below and in the is applied throughout the template. ppears next to Tables 1 to 3, the tables are of ppears in Table 1 'Has the return been comp	compliant.
	2) Reporting period					
	Reporting period	Report type	Return date (dd/mm/yyyy)			
•	01/04/2023 - 31/03/2024	Delivery Plan	01/05/23			
	3) Governance			-		
	Cabinet Member (name)	Cabinet Member's email	Is the Cabinet Member copied into the return email? (dropdown)	Section 151 Officer (name)	Section 151 Officer's email	Is the Section 151 Officer/CFO copied into the return email?
0	Stefan Walker	Stefan Walker@middlesbrough.gov.uk	Yes	Andrew Humble	Andrew Humble@middlesbrough.gov.uk	Yes
1	4) Totals					
	Anticipated spend for vulnerable households (£)	Anticipated admin costs (£)	Anticipated total LA spend (£)	Allocation (£)	Percentage of allocation accounted for in delivery plan (%)	
. l	£ 2,974,400.00	£ 330,723.00	£ 3,305,123.00	£ 3,307,230	100%	
			£ 3,305,123.00	£ 3,307,230	100%	
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	Traffic Light Guidance System The traffic light guidance system is use outstanding required inputs. The icons of tradicates that the adjacent table is compliant: The red circle with a white cross indicates that the adjacent table is non- compliant: For LA-PED use only: Governance Spend Volumes	d throughout this workbook to help inform the user, of can be found next to each table.			100%	

01/04/2023 - 30/06/2023 01/04/2023 - 30/09/2023 01/04/2023 - 31/12/2023 01/04/2023 - 31/03/2024

	HSF4 Anticipated spen						
N	otes						
Tł	he totals cells which autopopulate	have been greyed out and locked for editing. Please only input into the green cells.					
Tł	he totals in the autopopulated cell	s of tables 6 to 9 must be the same for the return to be compliant.					
Please input values in full (e.g. 120,000.00) to enable us to process the return accordingly. Only numbers (eg 123.00) can be entered into each cell. If any other format is inputted an error message will appear.							
TI	he traffic light system will help yo	ensure the template is completed in full. For the return to be compliant, all traffic lights must be green with a white tick.					
	f there is no anticipated spend to report, in order to enable the green light with white tick next to each table, the cells should still be completed with 0 (zero as a numerical value after than typing out 'NL', for example). This will help us process the return promptly for you.						
ч	las the spend tab been completed	correctly?' - the traffic light will turn green with a white tick once Tabes 5 to 9 are compliant.					
		s below refers to Free School Meals.					
	ne actoriyin row used in the table						
_	5) Anticipated admin	407 Vertice light check					
	spend						
	Admin spend	Has the anticipated spend tab been completed correctly?					

	6) Anticipated spend (£) split by household composition							
	Households with children (£)	Households with pensioners (£)	Households with a disabled person (£)	Other households (£)	Anticipated total spend (by household composition) (£)			
-					£ 2.974.400.00			
Ø	£ 1,858,350.00	£ 383,050.00	£ 300,950.00	£ 432,050.00	£ 2,			

	7) Anticipated spend (£) s	7) Anticipated spend (£) split by category									
Pa	Food (excluding FSM support in the holidays) (£)	FSM support in the holidays (£)	Energy and water (£)	Essentials linked to energy and water (£)	Wider essentials (£)	Housing costs (£)	Advice services (£)	Anticipated total spend (by category) (£)			
ge	£ 1,596,400.00	£ -	£ 658,000.00	£ 160,000.00	£ 500,000.00	£ 40,000.00	£ 20.000.00	£ 2,974,400.00			
<u> </u>	8) Anticipated spend (£) s	plit by types of support									
$\mathbf{N}$				_		Anticipated total spend (by					

<u>د</u>	8) Anticipated spend (£) split by types of support								
3	Vouchers (£)	Cash awards (£)	Third party organisations (£)	Tangible items (£)	Other (£)	Anticipated total spend (by types of support) (£)			
ø	£ 1,790,000.00	£ 405,000.00	£ 269,400.00	£ 200,000.00	£ 310,000.00	£ 2,974,400.00			

	9) Anticipated spend (£) split by access routes								
	Application-based support (£)	Proactive support (£)	Other (£)	Anticipated total spend (by access routes) (£)					
ø	£ 1,150,000.00	£ 1,535,000.00	£ 289,400.00	£ 2,974,400.00					

	HSF4 Anticipated vo	lumes					
	Notes The totals cells which autopop	ulate have been greyed out and I					
ľ	Please only input whole numbe	rs. If any other format is inputtee	an error message will appear.				
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10	as a numerical value rather tha	n typing out 'NIL', for example).					
1	The acronym FSM used in the t	ables below refers to Free Scho	ol Meals.				
	10) Anticipated volume	of awards split by househ	old composition				
	Households with children	Households with pensioners	Households with a disabled person	Other households	Anticipated total volume of awards (by household composition)		
	58137	6284	6232	5382	76035		
	(d) Anticipated volume	e of owned onlit hu optomo					
ł	TT) Anticipated Volum	e of awards split by catego	y				

	Food (excluding FSM support in the holidays)	FSM support in the holidays	Energy and water	Essentials linked to energy and water	Wider essentials	Housing costs	Advice services	Anticipated total volume of awards (by category)
0	57402	0	11632	582	5551	200	668	76035

	12) Anticipated volume of awards split by types of support									
	Vouchers	Cash awards	Third party organisations	Tangible items	Other	Anticipated total volume of awards (by types of support)				
] °	42477	5317	24869	727	2645	76035				
	13) Anticipated volume	of awards split by access								
	Application-based support	Proactive support	Other support	Anticipated total volume of awards (by access routes)						
0	13210	37288	25537	76035						

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	Notes							
		ulate have been greyed out and I	ocked for editing. Please only in					
	Please only input whole numbe	ers. If any other format is inputtee	d an error message will appear.					
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		bers to report, in order to enable ue rather than typing out 'NIL', fo						
	The acronym FSM used in the t	ables below refers to Free Scho	ol Meals.					
	14) Anticipated number	of households helped split	by household composition					
	Households with children	Households with pensioners	Households with a disabled person	Other households	Anticipated total number of vulnerable households helped (by household composition)			
0	20311	6247	6195	5345	38098			
	15) Anticipated numbe	r of households helped split	by category					
	Food (excluding FSM support in the holidays)	FSM support in the holidays	Energy and water	Essentials linked to energy and water	Wider essentials	Housing costs	Advice services	Anticipated total nu vulnerable househole (by category
0	21080	0	10017	582	5551	200	668	
		· · · ·						
	16) Anticipated numbe	r of households helped split	by types of support					
	Vouchers	Cash awards	Third party organisations	Tangible items	Other	Anticipated total number of vulnerable households helped (by types of support)		
0	15588	5317	13821	727	2645	38098		
					I			
	17) Anticipated number	of households helped split	by access routes					
	Application-based support	Proactive support	Other	Anticipated total number of vulnerable households helped (by access routes)				
	8321	15288	14489	38098				
0	0021							

	Notes			]			
	All grey boxes require a written response. If there is nothing to report in a cell, write "N/A". Only use "N/A" who	ere you have no reported spend for that category. For example, if you	have reported a spend of 0 for tangible items, you will record "N/A"				
	in the box below "tangible items".						
	Any sections which have had a reported spend in previous tabs nee The traffic light system will help you ensure the template is complete	ds a written explanation. ted in full. For the return to be compliant, all traffic lights must be gre	en with a white tick				
	You must refer to the full guidance document when completing this						
		· · ·		]			
	18) Planned activities - Categories						
	Food (exculding FSM support in the holidays)	FSM support in the holidays	Energy and water	Essentials linked to energy and water	Wider essentials	Housing costs	Advice services
-	Families in receipt of FSM (1100 per child spread over 3 payments, based on Middlesbrough schools' records) Other Middlesbrough schools' records) Part of the offering of holes not in necejn of F3M, Part of the offering of holes not in necejn benefits will allow them to choose between this or vider estartistis support Family and will be profession.	NA	Energy vouchers can be provided to those who have a pre-payment meter Unds are adong to a third party provider to deliver energy support	Money has been assigned to provide residents with energy efficient white goods	Vouchers will be provided to new mothers upon registering the birth to high with increased costs Money has been assigned to furnhur essentials for beds etc where Money has been derived to provide essentials to territies or children Part of the differing for those not in neeight of benefits allows for people makes a dhice between body voucher or second with ofther essentials and the second benefits of the second with ofther essentials and the second benefits and the second with ofther essentials and the second benefits and the second with ofther essentials and the second benefits and the second with ofther essentials and the second benefits and the second benefits and the second benefits and the second benefits and the second benefits and the second benefits and the second benefits and the second benefits and the second benefits and the second benefit	We have assigned money to support people with housing costs where not able to receive a DHP	We have assigned a small amount of money to this and are still investigating how this will work, may re-distribute these funds later in the achieve
	19) Planned activities - Types of Support						
	Vouchers	Cash awards	Third party organisations	Tangible items	Other		
0	Energy vacchars will be issued where proofs have the required meter Food vocchers will be used to support proofe on most routes and this is done to allow them to free up disposable income to support with energy costs.			Energy efficient while goods are available to residents in crisis who require support as well as deserials such as beds, coals as	Advice services have been assigned to this currently As part of the application process for those not in needy of benefits we are offering different methods of support for their wide essentials, the harvourt may change detending on that close the resident makes		
	20) Planned activities - Access Routes						
	Application-based support	Prosctive support	Other				
0		Those in receipt of FSM in Middlesbrough schools, or have entitlement to Council Tar Reduction and disability benefits and/or persion credit quarteries credit, guinamer credit, guinamer credit quarteries address addre	Third party support has currently been loaded in to this section				
	21) Planned activities Please refer to guidance document for	- Further information questions to respond to using this field					
0	Funds have been split in to phases to ensure we have money available receive 3 payments We have a dedicated page on the website and utilise digital channels survice. Depending on the resident utilise with anyowice. Depending on the residentiative text messages, letters etc. We also work closesly with other departmentative aware and can websity corrors the scheme aware and can websity corrors the scheme	throughout the whole scheme. For example those in receipt of FSM will throughout the wear. In as lateback. We are offering a phone based solution that the team can use the hing to pace the will along the method of communication e.g. and assist with applications where necessary providing publics on what they have server, how, who has received the modified provides and the provides the method server.					

HSF4 Planned activites

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#### Impact Assessment Level 1: Initial screening assessment

	Subject of assessment:	Household Support Fund (HSF) schem	ne 2023/24					
	Coverage:	Crosscutting						
		Strategy	Policy	Service	Function			
	This is a decision relating to:	Process/procedure	Programme	Project	Rev	view		
		Organisational change	Other (please state)					
	It is a:	New approach:		Revision of an existing approach:		$\square$		
	It is driven by:	Legislation:	$\boxtimes$	Local or corporate requirements:				
Page 17	Description:	Image: Statutorie       Image: Statutory correction of the proposed Household Support Fund 2023/24 scheme.         Statutory drivers       The Council needs to adopt a scheme to distribute £3.3 million of Household Support Fund provided by Central Government to residents of the town affected by the Cost of Living Crisis, to be communicated to the DWP by 17 May 2023.         Differences from any previous approach         The proposed scheme will be administered in line with the new Central Government criteria guidance, but a number of the suggested initiatives are based on previous HSF schemes that have worked successfully and which have been endorsed by groups such as financial inclusive groups sustained but tapered support over the year, in order to reduce the risk of household dependency.         Key stakeholders and intended beneficiaries (internal and external as appropriate)         The key stakeholders are: the Council and local residents.         Intended outcomes.         To seek approval for the delivery plan required by the DWP in line with Central Government criteria. Where the criteria do not exist, or minor adjustments are required to ensure efficient operation then decision making is delegated to the Head of Resident and Business Support.         The Executive Member for Finance and Governance will consider the HSF scheme by 24 <sup>th</sup> April 2023.						
	Lifespan:	To be administered between April 23	and March 24.					
	Date of next review:	March 2024						

Screening questions	Response			Evidence
	No	Yes	Uncertain	
<b>Human Rights</b> Could the decision impact negatively on individual Human Rights as enshrined in UK legislation?*				The HSF scheme is provided by central government to award support for residents adversely affected by the Cost of Living Crisis. The local scheme is based on government guidance and criteria, and is designed to support households with children, pensioners, those with disabilities and other households who may be experiencing financial difficulties. This support does not reduce or replace other existing forms of support and therefore no resident will be adversely affected. Support will be provided through a combination of direct automated awards and application-based claims In addition, contingency is in place to provide for situations where an award of relief might be justifiable outside of the eligibility criteria. In light of the above, i is not considered that the report will have an adverse impact on individuals in terms of human rights.
<b>Equality</b> Could the decision result in adverse differential impacts on groups or individuals with characteristics protected in UK equality law? Could the decision impact differently on other commonly disadvantaged groups?*				The HSF scheme is provided by central government to award support for residents adversely affected by the Cost of Living Crisis. The local scheme is based on government guidance and criteria, and is designed to support households with children, pensioners, those with disabilities and other households who may be experiencing financial difficulties. This support does no reduce or replace other existing forms of support and therefore no individuals will be adversely affected. Support will be provided through a combination of direct automated awards and application-based claim In addition, contingency is in place to provide for situations where an award of relief might be justifiable outside of the eligibility criteria. In light of the above, i is not considered that the report will have an adverse impact on different groups or individuals in terms of equality.

<sup>\*</sup> Consult the Impact Assessment further guidance appendix for details on the issues covered by each of these broad questions prior to completion.

Screening questions	Response		Evidence
<b>Community cohesion</b> Could the decision impact negatively on relationships between different groups, communities of interest or neighbourhoods within the town?*			The HSF scheme funding is provided by central government to award support for residents adversely affected by the Cost of Living Crisis. The local scheme i based on government guidance and criteria, and is designed to support households with children, pensioners, those with disabilities and other households who may be experiencing financial difficulties. This support does not reduce or replace other existing forms of support and therefore no community groups will be adversely affected as a result. Support will be provided through a combination of direct automated awards and application-based claims. In addition, contingency is in place to provide for situations where an award of relief might be justifiable outside of the eligibility criteria. In light of the above, it is not considered that the report will have an adverse impact on relationships between different groups, communities of interest or neighbourhoods within the town.

**I** If the answer of any of the questions is Yes or Uncertain, then a Level 2 Full Impact Assessment must be completed.

Assessment completed by:	Nicola Mearns	Head of Service:	Janette Savage
Date:	13.04.23	Date:	13.04.23